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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, BOX SEQUENCE, P. O. BOX 2327, ARLINGTON, VA 22202, ON APRIL 17, 2002

Karen K. Varley  
AGENT/ATTORNEY FOR APPLICANT

April 17, 2002  
DATE

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Attorney Docket No. 1016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Garnaat, et al. Date: April 17, 2002  
Serial No.: 09/905,558 Group Art Unit: 1642  
Filed July 13, 2001 Examiner: Not known  
For: "ZmAXIG1 Polynucleotides and Methods of Use"

Assistant Commissioner for Patents  
Box Sequence  
P. O. Box 2327  
Arlington, VA 22202


STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE  
WITH 37 CFR §§1.821 THROUGH 1.825

- ☒ I hereby state that the contents of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.
- ☒ I hereby state that the submission filed in accordance with 37 CFR §1.821(g) does not include new matter.
- ☐ I hereby state that the submission filed in accordance with 37 CFR §1.821(h) does not include new matter or go beyond the disclosure in the international application as filed.
- ☐ I hereby state that the amendments, made in accordance with 37 CFR §1.825(a), included in the substitute sheet(s) of the Sequence Listing are supported in the application, as filed, at pages \_\_\_\_\_. I hereby state that the substitute sheet(s) of the Sequence Listing does (do) not include new matter.

Serial No. 09/905,558

- ☐ I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 CFR §1.825(b), is the same as the amended Sequence Listing.
- ☒ I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 CFR §1.825(d), is identical to that originally filed.

Respectfully submitted,

  
Karen K. Varley  
Agent for Applicant(s)  
Registration No. 45,751

PIONEER HI-BRED INTERNATIONAL, INC.  
Corporate Intellectual Property  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/905,558	07/13/2001	Carl W. Garnaat	1016

27310  
PIONEER HI-BRED INTERNATIONAL INC.  
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JOHNSTON, IA 50131

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CONFIRMATION NO. 2761

FORMALITIES LETTER



\*OC000000007717436\*

Date Mailed: 03/26/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



*[Handwritten signature]*

**CERTIFICATE OF MAILING**  
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN  
ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS,  
BOX SEQUENCE, P. O. BOX 2327, ARLINGTON, VA 22202, ON APRIL 17, 2002

*Karen K Varley*  
AGENT/ATTORNEY FOR APPLICANT

*April 17, 2002*  
DATE

Docket No. 1016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Garnaat, et al.	Date:	April 17, 2002
Serial No.:	09/905,558	Group Art Unit:	1642
Filed	July 13, 2001	Examiner:	Not known
For:	"ZmAXIG1 Polynucleotides and Methods of Use"		

Assistant Commissioner for Patents  
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P. O. Box 2327  
Arlington, VA 22202

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE  
AND/OR AMINO ACID SEQUENCE DISCLOSURES**

This letter is in response to the "Notice to Comply" mailed on March 26, 2002, which indicated that the copy of the "Sequence Listing" in computer readable form has been found to be damaged and/or unreadable. Copies of "The Notice to Comply" and the "CRF Problem Report" are enclosed.

Additionally, enclosed please find a substitute computer readable form (CRF) copy of the "Sequence Listing", a substitute paper copy of the "Sequence Listing", and a statement that the content of the paper and computer readable copies are the same and include no new matter.

1642  
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Although there should be no fees associated with this Response the Commissioner is hereby authorized to charge any processing fees associated with said Response, or to credit any overpayment, to Deposit Account 16-1852.

Respectfully submitted,

  
Karen K. Varley  
Agent for Applicant(s)  
Registration No. 45,751

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